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**Comparative Phenotypic and Genotypic Analysis of Swiss
and Finnish *Listeria monocytogenes* Isolates with Respect to
Benzalkonium Chloride Resistance**

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Anja Barbara Meier

Tierärztin
von Erlenbach, ZH und Zürich, ZH

genehmigt auf Antrag von

PD Dr. Taurai Tasara, Referent

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Comparative Phenotypic and Genotypic Analysis of Swiss and Finnish *Listeria monocytogenes* Isolates with Respect to Benzalkonium Chloride Resistance

Anja Barbara Meier¹, Claudia Guldemann¹, Annukka Markkula², Anna Pöntinen², Hannu Korkeala², Taurai Tasara¹

¹Institute for Food Safety and Hygiene, Vetsuisse Faculty University of Zurich, Zurich, Switzerland.

²Department of Food Hygiene and Environmental Health, Faculty of Veterinary Medicine, University of Helsinki, Helsinki, Finland

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Abstract

Reduced susceptibility of *Listeria monocytogenes* to benzalkonium chloride (BC), a quaternary ammonium compound widely used in food processing and hospital environments, is a growing public health and food safety concern. The minimal inhibitory concentration of BC on 392 *L. monocytogenes* strains from Switzerland (CH) and Finland (FIN) was determined. Within this strain collection, benzalkonium chloride resistance was observed in 12.3% (24/195) of Swiss and 10.6% (21/197) of Finnish strains. In both countries, the highest prevalence of BC-resistant strains (CH: 29.4%; FIN: 38.9%) was detected among serotype 1/2c strains. Based on PCR analysis, genes coding for the *qacH* efflux pump system were detected for most of the BC-resistant strains (CH: 62.5%; FIN: 52.4%). Some Swiss BC-resistant strains harbored genes coding for the *bcrABC* (16.7%) efflux pump system, while one Finnish BC-resistant strain harbored the *emrE* gene previously only described among BC-resistant *L. monocytogenes* strains from Canada. Interestingly, a subset of BC-resistant strains (CH: 5/24, 20.8%; FIN: 9/21, 42.8%) lacked genes for efflux pumps currently known to confer BC resistance in *L. monocytogenes*. BC resistance analysis in presence of reserpine showed that the resistance was completely or partially efflux pump dependent in ten out of the fourteen strains lacking the known BC resistance genes. Sequence types 155 and ST403 were over-represented among these strains suggesting that these strains might share similar but yet unknown mechanisms of BC resistance.

Keywords

Listeria monocytogenes, Benzalkonium chloride, *bcrABC*, *qacH*, *emrE*, MLST

Zusammenfassung

Reduzierte Empfindlichkeit von *Listeria (L.) monocytogenes* gegenüber Benzalkoniumchlorid (BC) gewinnt im Zusammenhang mit dem Gesundheitswesen und der Lebensmittelsicherheit immer mehr an Bedeutung. Benzalkoniumchlorid ist eine quarternäre Ammoniumverbindung, welche in lebensmittelverarbeitenden Betrieben sowie Spitälern oft verwendet wird. Das Ziel dieser Studie war es, die minimale Hemmkonzentration von BC für 392 *L. monocytogenes* Stämme aus der Schweiz (CH) und aus Finnland (FIN) zu untersuchen. 12.3% (24/195) der Schweizer und 10.6% (21/197) der Finnischen Stämme waren resistent gegenüber Benzalkoniumchlorid. Für beide Länder wurde die höchste Prävalenz an BC-resistenten Stämmen (CH: 29.4%, FIN: 38.9%) assoziiert zum Serotyp 1/2c gefunden. Basierend auf PCR-Analysen wurden Gene, welche für das *qacH* Effluxpumpensystem kodieren, in den meisten der BC-resistenten Stämme nachgewiesen (CH: 62.5%, FIN 52.4%). Einige BC-resistente Stämme (16.7%) aus der Schweiz trugen Gene, welche für das *bcrABC* Effluxpumpensystem kodieren und ein BC-resistenter Stamm aus Finnland trug das *emrE* Gen, welches bisher nur bei BC-resistenten Stämmen aus Kanada beschrieben wurde. Interessanterweise fehlen einigen BC-resistenten Stämmen (CH: 5/24, 20.8%; FIN: 9/21, 42.8%) bisher bekannte Genen, welche zur BC-Resistenz bei *L. monocytogenes* beitragen. Die Untersuchung der BC-Resistenz in Anwesenheit von Reserpin zeigte, dass die Resistenz bei 10 dieser 14 Stämme ohne bekannte BC-Resistenzgene komplett oder teilweise von Effluxpumpen abhängig war. Unter diesen Stämmen waren die Sequenztypen ST155 und ST403 besonders häufig. Dies könnte ein Hinweis darauf sein, dass diese Stämme einen ähnlichen, bisher noch unbekannten Mechanismus für die BC-Resistenz, besitzen.

Schlüsselwörter: *Listeria monocytogenes*, Benzalkoniumchlorid, *bcrABC*, *qacH*, *emrE*, MLST

Introduction

Listeria monocytogenes, the causative agent of listeriosis in humans and animals, represents a major foodborne pathogen with serious impacts on public health and the food industry (4,6,28). Listeriosis mainly, but not exclusively affects neonates, elderly people, pregnant women and immunosuppressed individuals and may cause gastroenteritis, sepsis, central nervous system infections and abortion in pregnant women (20). Listeriosis, even though relatively rare, occurs worldwide (20) and is of major public health concern due to the high case-fatality rate in human clinical cases (15-30 deaths/100 cases) (4,6,28). The ubiquitous nature of *L. monocytogenes* and its ability to grow at refrigeration temperatures (37) and to tolerate very low pH (reviewed in (34)) and high salt concentrations (2), increases the risk of foodborne outbreaks from strains that achieve high concentrations on products with a long shelf life and subsequently cause human infections upon consumption. Strains of *L. monocytogenes* can persist in niches within food processing facilities for years, representing a serious food safety issue (reviewed in (10)). As a preventive measure, quaternary ammonium compounds (QACs) such as benzalkonium chloride (BC), are widely used for cleaning and disinfection of food processing environments (21,22). Quaternary ammonium compounds function by disrupting cell membranes of bacteria, subsequently leading to leakage of the cytosol and degradation of proteins as well as nucleic acids (21). *L. monocytogenes* strains with low susceptibility to BC have been regularly isolated from foods and food processing environments. (11,22,24,32),. Benzalkonium chloride resistant (BC^r) strains have been isolated from human listeriosis infections (9), and there is evidence of cross-protection against other antimicrobials including gentamicin and ciprofloxacin (30). In this light, the presence of BC^r strains in food and food processing environments is concerning.

The known molecular mechanisms of BC resistance are due to the activity of efflux pump systems encoded through the *brcABC* (9), *qacH* on the Tn6188 transposon (25) and *emrE* (13,18) genes that can be acquired by horizontal gene transfer leading to BC resistance in *L. monocytogenes*. There is limited knowledge of the prevalence of BC resistance among Swiss *L. monocytogenes* strains; a recent study found a prevalence of 18% among 142 Swiss strains isolated from food and the food processing environment (8). Virtually no information is available on the QAC resistance profiles in strains from Finland. The aim of this study was to analyze and

compare a large collection consisting of *L. monocytogenes* strains from Switzerland and Finland for BC susceptibility and the presence of known genes that convey resistance to QAC. The strain collection included isolates originating from samples taken along the whole length of the food production chain, ranging from the farm environment (silage, feces of farm animals and birds, veterinary clinical cases) to food production facilities and various food to human clinical cases.

Materials and methods

Bacterial strains

The 392 *L. monocytogenes* strains used in this study were collected between 1999 and 2013 in Switzerland and Finland (Supplementary Table 1). The Swiss strains (n = 195) were collected at the Swiss National Reference Centre for Enteropathogenic Bacteria and Listeria (NENT). This strain collection differed from that recently described in the study by Ebner et al. (8). Finnish strains (n = 197) were collected through the Department of Food Hygiene and Environmental Health of the Faculty of Veterinary Medicine at the University of Helsinki. Unlike the Swiss strain collection, the Finnish strain collection lacked human clinical isolates. The origin of the strains was summarized as: dairy (strains isolated from dairy products), meat (strains isolated from carcasses and raw meat products), fish (strains isolated from raw fish), ready to eat (RTE) food (strains isolated from seafood, salad, sausage, ham, maize), vegetables (strains isolated from raw vegetables), food animals (strains isolated from cows, goats, pigs and sheep), birds (strains isolated from the feces of wild birds), food production environments (FPE) (strains isolated from meat, RTE and dairy production environments), human (strains isolated from human listeriosis cases), others (strains isolated from quorn, rice, silage). Bacteria were stored at -80°C in brain heart infusion (BHI) (Oxoid, Pratteln, Switzerland) broth plus 20% glycerol (Sigma-Aldrich, Buchs, Switzerland).

Strain serotyping and BC susceptibility testing

Strain serotypes were assigned by the slide agglutination test using the commercial set of Listeria O-factor and H-factor antisera from Denka Seiken (Pharma Consulting,

Burgdorf, Switzerland) according to the manufacturer's instructions. Susceptibility to BC was tested using the previously described agar dilution method (9). Strains were plated on blood agar plates (Difco, Columbia blood agar base, 5% sheep blood, Oxoid) and incubated for 18 hrs at 37 °C. Single colonies were picked from each plate on the next day and suspended in 100 μ l of Mueller Hinton broth (MHB) (Oxoid, Pratteln, Switzerland). Five microliters of the suspensions were spotted in technical duplicates on Mueller Hinton Agar (MHA) (Oxoid) plates supplemented with 2% defibrinated sheep blood (Oxoid) and various BC concentrations (0, 2.5, 5, 7.5, 10, 15, 20, 25, and 30 μ g ml⁻¹; Sigma-Aldrich). Benzalkonium chloride minimal inhibitory concentrations (MICs) were read after incubating the plates at 37 °C for 48 hrs. Spots could exhibit either no growth, growth of individual colonies, or confluent growth over the full area of the spot. Strains were considered resistant to a given concentration of BC if the spots showed confluent growth, and the MIC was defined as the lowest BC concentration preventing confluent growth of the spotted bacteria. Strains were considered BC^r if they exhibited confluent growth at or above 20 μ g ml⁻¹. This cutoff was defined after the following considerations: we first determined the lowest BC concentration that killed > 50% of all strains (10 μ g ml⁻¹). Based on Langsrud et al. and Xu et al. (19,38), we then defined resistance at a MIC that was double this concentration (20 μ g ml⁻¹). Minimal inhibitory concentrations are indicated as > 30 μ g ml⁻¹ for strains that exhibited confluent growth at 25 and 30 μ g ml⁻¹ BC.

Impact of efflux pump inhibition with reserpine on BC susceptibility

To assess the contribution of efflux pump activity in BC^r strains, the BC MICs of such strains were also determined on MHB agar plates containing various BC concentrations (0, 2.5, 5, 7.5, 10, 15, 20, 25, and 30 μ M ml⁻¹; Sigma-Aldrich) and supplemented with the efflux pump inhibitor reserpine (20 μ M ml⁻¹; Sigma-Aldrich) (33). The BC resistance of the *L. monocytogenes* strains was classified as not efflux dependent (no effect of reserpine on the BC MIC), partially efflux pump dependent (addition of reserpine resulted in a decrease of the BC MIC of < 10 μ g ml⁻¹), or fully efflux pump dependent (addition of reserpine resulted in a decrease of the BC MIC of \geq 10 μ g ml⁻¹).

Genetic analysis of all BC^r strains

The DNA templates were extracted from *L. monocytogenes* strains that were grown overnight in BHI broth (37 °C and 125 rpm), using the DNeasy blood and tissue kit (Qiagen). Genotyping by multilocus sequence typing (MLST) was performed as previously described (29). Polymerase chain reactions (PCRs) to amplify seven housekeeping genes were performed using the HotStartTaq Master Mix (Qiagen) and 50 ng of genomic DNA template from each analyzed strain. The PCR products were sequenced at Microsynth (Balgach). The MLST types and genetic lineages were assigned using the *L. monocytogenes* MLST database website (<http://bigsdB.web.pasteur.fr>). The PCR analysis for the presence of *bcrABC*, Tn6188 and *emrE* genes was performed as previously described using primers shown in Table 1. The *emrE* primers were designed based on *L. monocytogenes* strain sequence 05-5578 described by Gilmore et al. (13). The R56 and R159 *L. monocytogenes* strains (8) were used as *bcrABC* and Tn6188 positive controls, respectively whereas the LR39-1 strain (17) was used as a positive control for *emrE*.

Statistical analysis

Statistical analysis was performed using the JMP program (Version 11.0.0, SAS Institute Inc., NC, USA). Fisher's exact test was used in a series of individual pairwise comparisons using 2 * 2 tables to compare proportions of BC^r and BC^s within the serotypes and the sources per country. P-values < 0.05 were considered to be statistically significant.

Results

Serotypes and origins of Swiss and Finnish *L. monocytogenes* strains.

A panel of 195 Swiss (CH) and 197 Finnish (FIN) *L. monocytogenes* strains that were isolated from diverse sources including foods, food production environments, food animals, wild birds and human listeriosis cases was serotyped. Table 2 presents an overview of the distribution of these strains based on serotypes and isolation sources. Strains examined from these two countries belonged to serotypes 1/2a (CH: 105/195, 53.8% and FIN: 134/197, 68.0%), 4b (CH: 47/195, 24.1%; FIN: 23/197, 11.7%), 1/2b

(CH: 26/195, 13.3%; FIN: 18/197, 9.1%), 1/2c (CH: 17/195, 8.7%; FIN: 18/197, 9.1%) and 3a (CH: 1/195, 0.5%; FIN: 4/197, 2.0%). In terms of isolation sources, the Swiss strains came from human listeriosis (80/195, 41.0%), meat (59/195, 30.3%), RTE food (17/195; 8.7%), dairy (14/195, 7.2%), FPE (17/195, 8.7%), fish (2/195, 1.0%), food animals (1/195, 0.5%), vegetables (1/195, 0.5%), and other sources (4/195, 2.1%). Finnish strains originated from meat (69/197, 35%), FPE (31/197, 15.7%), fish (27/197, 13.7%), birds (25/197, 12.7%), food animals (19/197, 9.6%), raw vegetables (11/197, 5.6%), dairy (8/197, 4.0%), RTE food (3/197, 1.5%), and other sources (4/197, 2.0%).

BC susceptibility of the Swiss and Finnish *L. monocytogenes* strains.

The BC susceptibility profiles of the Swiss and Finnish *L. monocytogenes* strains were assessed. Benzalkonium chloride MICs ranging from 7.5 $\mu\text{g ml}^{-1}$ to > 30 $\mu\text{g ml}^{-1}$ were found (Fig. 1, Table 2). Strains with BC MICs $\geq 20 \mu\text{g ml}^{-1}$ were classified as BC^r; strains with BC MICs < 20 $\mu\text{g ml}^{-1}$ were classified as BC^s.

By this definition, the majority (348 out of 392) of strains from both countries were BC^s. There were however 24 (12.3%) Swiss and 21 (10.6%) Finnish strains classified as BC^r, with BC MICs ranging from 20 $\mu\text{g ml}^{-1}$ to > 30 $\mu\text{g ml}^{-1}$. The largest group within the Swiss strains (104/195; 53.3%) had BC MICs of 10 $\mu\text{g ml}^{-1}$ whereas the largest group within the Finnish strains (96/197; 48.7%) had BC MICs of 7.5 $\mu\text{g ml}^{-1}$.

Prevalence of BC^r strains with respect to isolation sources and serotypes.

None of the dairy, food animal and other category strains from either Switzerland or Finland from this strain collection exhibited a BC^r phenotype. In both countries strains exhibiting BC^r phenotypes were recovered from the FPE, raw meat and RTE food categories. Swiss BC^r strains also included isolates from human listeriosis cases, whereas the Finnish BC^r strains included isolates from raw fish, vegetables and wild birds. The prevalence of BC^r strains in both countries also varied with regard to the isolation sources (Fig. 2A).

The overall frequency of a BC^r phenotype in the Swiss strains was 12.3% and sources included, in descending order of relative frequency: FPE (5/15, 33.3%), meat (12/59, 20.3%), human isolates (6/80, 7.5%), and RTE food (1/17, 5.9%). The overall frequency of a BC^r phenotype in the Finnish strains was 10.2% and the sources

included RTE (2/3, 66.7%), vegetables (3/11, 27.3%), the FPE (5/31, 16.1%), fish (3/27, 11.1%), and meat (4/69, 5.8%). Statistical analysis detected significant differences between the prevalence of a BC^r phenotype in Swiss vs. Finnish strains that were isolated from meat (CH > FIN) and RTE (CH < FIN) food products ($p > 0.05$). It is possible that such differences might have been biased due to discrepancies in the number of tested strains since there were only three Finnish RTE food strains compared to 17 Swiss strains examined in this category. No significant ($p > 0.05$) differences were detected in BC^r prevalence between the FPE, vegetables and raw fish strain categories in the two countries. BC^r strain prevalence in human listeriosis (6/80; 7.5%) and bird (3/24; 12.5%) categories in the two countries could however not be compared they were not represented in both locations.

Prevalence of the BC^r strains also varied in each country with regard to the different *L. monocytogenes* serotypes. As expected given the composition of our strain collection, the majority of BC^r strains detected in both countries belonged to serotypes 1/2a (28/45, 62.2%) and 1/2c (12/45, 26.7%), although BC^r serotype 4b (3/45, 6.7%) and 1/2b (2/45, 4.4%) strains were also found. Interestingly, the highest prevalence of BC resistance was detected in serotype 1/2c strains from both countries (CH 5/17, 29.4%; FIN 7/18, 38.9%; Fig. 2B). The second highest BC^r prevalence in Swiss strains was found in serotype 1/2a strains (18/104, 17.3%), and in Finnish strains among serotype 4b strains (3/23, 13%) (Fig. 2B). Low prevalence of BC resistance was found in serotype 1/2b isolates from both countries (CH: 1/26, 3.8%; FIN: 1/18, 5.5%), and no BC^r phenotypes were detected in Swiss serotype 4b (n=47), as well as serotype 3a (n=5) strains from both countries. Statistical comparison revealed significantly higher BC^r prevalence among the Swiss (19/105, 18.1% vs. 10/134, 7.5%; $p < 0.05$) serotype 1/2a strains compared to their Finnish counterparts. On the other hand the Swiss serotype 4b strains displayed significantly lower BC^r prevalence (0% vs. 13%; $p < 0.05$) compared to those from Finland. No significant differences were observed in BC^r prevalence associated with serotype 1/2c and 1/2b strains from the two countries. We are however aware that our observations could be biased due to overall differences in the examined sample sizes between some of the serotype categories in the the two countries.

Genotypes associated with Swiss and Finnish BC^r strains

Molecular genotypes associated with the Swiss and Finnish BC^r strains were assessed based on MLST genotyping. The 45 BC^r strains from the two countries were assigned to 14 sequence types (ST), which included two newly described sequence types (ST25, ST28) (Table 3). BC^r strains in both countries belonged predominantly to sequence types ST121 (14/45; 31.1%) and ST9 (11/45; 24.4%) although there were some country specific differences. Sequence type 121 (50% vs. 19%) predominated among the Swiss BC^r strains while ST9 (28.6% vs. 20.8%) was predominant in Finnish BC^r strains. Sequence types ST403 (n=4), ST204 (n=1), ST25 (n=1) and ST 28 (n=1) were exclusive to Swiss BC^r strains whereas ST155 (n=3), ST1 (n=1), ST101 (n=1), ST120 (n=1), ST194 (n=1) and ST515 (n=1) were exclusive to the Finnish BC^r strains. Strains were grouped into 10 MLST clonal complexes based on their sequence types showing that BC^r in this strain collection is mainly associated with serotype 1/2a, CC121 (16/45; 35.6%) and serotype 1/2c, CC9 (12/45; 26.7%). Overall, most BC^r strains belonged to evolutionary genetic lineage II (39/45; 86.7%). There were only five (11.1%) genetic lineage I BC^r strains observed, one of which was isolated in Switzerland and four were from Finland. One serotype 1/2c strain that originated from a FPE in Finland was untypable using the current MLST scheme. In this strain, primers for one (*bglA*) out of the seven MLST genes amplified a PCR product bearing a sequence that is unrelated to the *L. monocytogenes bglA* gene.

Prevalence of BC resistance genes in Swiss and Finnish BC^r strains

Benzalkonium chloride resistant strains were also examined for the distribution of genes encoding the three efflux pump systems (*bcrABC*, *qacH* and *emrE*) currently known to confer BC resistance in *L. monocytogenes* (Table 3). The PCR-based analysis detected genes associated with such efflux pump systems in 79% (19/24) Swiss and 57% (12/21) Finnish BC^r strains, respectively. Swiss strains harbored both *qacH* (11/24; 62.5%) and *bcrABC* (8/24, 16.7%) associated genes, and no strains harboring *emrE* were found. A majority of the BC^r strains from Finland harbored *qacH* genes (11/21; 52.4%), no *bcrABC* genes were found, and in one BC^r strain, an *emrE* gene was detected. With respect to associated serotypes and MLST genotypes, the *qacH* genes were detected in serotype 1/2a, CC121 (ST121 and ST28), serotype 1/2a, CC204 (ST204) and serotype 1/2c, CC9 (ST9) strains. The *bcrABC* genes were

associated with serotype 1/2a, CC121 (ST121 and ST25), serotype 1/2b, CC5 and serotype 1/2c, CC9 strains. The *emrE* gene was associated with a serotype 1/2a, CC8 (ST120) strain. In terms of origins, the *qacH*-encoding strains came from FPE, raw meat, fish RTE food, and human listeriosis cases. The *brcABC* harboring strains were from FPE and raw meat, and the *emrE* strain originated from an RTE food product. None of the three known BC resistance determinants were detected in 21% (5/24) and 43% (9/21) of the Swiss and Finnish BC^r strains, respectively (Table 3). This group included serotype 4b, CC1 (ST1 and ST515), serotype 1/2a, CC8 (ST8), CC101 (ST101), CC155 (ST155) and serotype 1/2b, CC5 (ST5) strains, which were isolated from diverse sources. At this stage, sequence alterations affecting PCR primer binding sites across different strains cannot be completely ruled out as a possible reason for false negative results in some of the BC^r strains found to lack the known BC^r genes.

Role of efflux pump activity in Swiss and Finnish BC^r strains

A screen with reserpine showed that the BC resistance in four out of forty-five strains depended on efflux pumps. The BC resistance in an additional 14 strains was classified as partially efflux pump dependent while the addition of reserpine had no effect on the BC MIC in 27 strains. As mentioned above, a subset of 14 BC^r strains lacked known BC resistance genes. Reserpine addition had no impact on BC MICs in four of those strains. The BC resistance in an additional three strains was classified as efflux pump dependent, and in seven strains as partially efflux dependent. (Table 3)

Discussion

In this study 392 *L. monocytogenes* strains recovered from human clinical listeriosis, food products and production environments, food animals and wild birds in Switzerland and Finland were analyzed with respect to BC resistance. The strain collections could not be exactly matched or balanced with respect to origin, due to country specific differences in the type of food typically produced and limited availability of isolates. Although it remains unclear how well the strain collection represents the true distribution of strains in these two geographical locations, the large number of strains included in this study is likely to balance some of the potential bias.

The vast majority of analyzed strains belonged to serotype 1/2a, 1/2b, 1/2c and 4b, which are typical *L. monocytogenes* serotypes found in food, the food processing environment and human clinical cases (26).

The prevalence of BC^r strains of 11.4% in our sample is at the lower end of what other authors have found. In comparison, prevalences of BC-resistant strains determined in other studies range from ~10% (in strains isolated from fish and poultry factories (1), human clinical cases and food (22,31)); 18 – 26% in strains isolated from food in Switzerland (8) and China (16,38) to 61% in strains originating from fish processing (35) and the human clinical, food and food production environment (7,24). These differences are partially due to the different methods used as well as differences in the definition of resistance across studies. The range of cutoffs for BC-resistance from 4 – 16 $\mu\text{g ml}^{-1}$ in these studies (1,7,8,16,22,24,35,38) is a consequence of the commonly used method to determine BC-resistance relative to the MIC that inhibits a majority of strains. Working concentrations of BC in commercial products used in the food processing environment typically range from 500 – 1000 $\mu\text{g ml}^{-1}$ (15). However, *L. monocytogenes* preferably survives in niches with low accessibility for cleaning where the actual concentration of disinfectants is hard to predict. Defining relative cutoffs for BC-resistance is therefore a reasonable approach to focus on the strains that are most likely to have a selective advantage during repeated disinfection procedures.

There was no clear correlation between resistance to BC and country of origin. In our study, serotype 1/2a comprised the largest number within the BC^r strains while the relative prevalence was the highest in serotype 1/2c strains. Other authors have found varying fractions of BC^r serotype 1/2a, 1/2b, 1/2c, and 4b strains of *L. monocytogenes* (ranging from 7-60% for serotype 1/2a; from 0-51% for serotype 1/2b; from 22-75% for serotype 1/2c and from 0-100% for serotype 4b) (16,22,24,31,32,35,38). This wide range is to be expected, given the often relatively small sample sizes and the low discriminatory power of serotyping (5).

In contrast, analysis by MLST revealed that CC121 and CC9 are overrepresented among BC^r strains carrying *brcABC* and *qacH* genes, which confirms the results of an earlier Swiss study (8). Both of these clonal complexes are commonly found worldwide in association with food and clinical cases (3). A large study analyzing the population biology of 1696 strains of *L. monocytogenes* by core genome MLST

indicated a broad range of strains carrying *bcrABC* and *qac* genes (including a cluster of CC121 strains) while *emrE* seems to be limited to sublineage 8 strains (comprising CC8, ST120) (23). Incidentally, the only strain in our panel carrying the *emrE* gene also belongs to CC8, ST120. None of the BC^r strains lacking *bcrABC*, *emrE* and *qacH* belonged to CC121 or CC9. Instead, these strains belong to a more diverse set of seven sequence types including a cluster of four CC403 strains, a clonal complex that seems to be relatively rare and largely found in Europe with only five entries in the MLST database of the Institute Pasteur (<http://bigsddb.web.pasteur.fr>).

Our dataset provides several lines of evidence for mechanisms of BC resistance other than the known efflux pumps that may work either alone or in conjunction with the products of the *bcrABC*, *qacH* and *emrE* genes. (i) Fourteen BC^r strains carried none of the known BC efflux pumps as determined by PCR. (ii) In eleven of these strains, reserpine screening indicated that efflux pumps other than those coded by *emrE*, *bcrABC* and *qacH* play at least a partial role in conferring resistance to BC. (iii) Out of the 31 BC^r strains carrying genes encoding for known efflux pumps, 24 showed no reduction of the BC MIC in the presence of reserpine. This may indicate the presence of additional, yet unknown genes that confer BC resistance via a mechanism other than efflux pumps in these strains. Alternatively, reserpine may not be equally effective against all efflux pumps. In fact, other authors (27) found no difference in BC MIC after the addition of reserpine in a strain carrying the Tn6188 transposon (coding for *qacH* (25)), and a study analyzing efflux pumps conferring multidrug resistance to *Staphylococcus aureus* showed that reserpine failed to identify their presence in a considerable number (72/128, 61%) of strains (12). While the addition of reserpine might not be a reliable method to exclude the presence of efflux pumps, in instances where it does exert an effect on the MIC of a given antimicrobial the presence of efflux pumps can be assumed (14,33,35,38).

Further analysis of the BC^r strains in our panel that do not code for *bcrABC*, *qacH* and *emrE* genes might help identify these additional factors involved in BC resistance. For instance, increased transcription of the multidrug resistance transporter *lde* has been reported in response to BC (30). Other than the activity of efflux pumps, modifications of the cell wall may potentially increase tolerance of BC by *L. monocytogenes* (21). This is supported by evidence from several studies: Mereghetti et al. (22) observed an association between BC resistance and failure of phage-based

subtyping methods, which may indicate modifications in the wall teichoic acids. In addition, transcriptional analysis of the response to QAC revealed upregulation of peptidoglycan synthesis pathways (11), and To et al. (36) found a shift in fatty acid composition in one BC-adapted strain compared to the parent strain.

In conclusion, BC^r strains of *L. monocytogenes* are present and should be monitored in the Swiss and Finnish food production environment with a special focus on strains that belong to CC9 and CC121. One strain from Finland carried the *emrE* gene, which to our knowledge is the first time the *emrE* gene has been described in a strain of *L. monocytogenes* originating outside of Canada. Additionally, we found BC resistance in strains lacking all of the known BC resistance genes, indicating the presence of yet unknown mechanisms of BC resistance.

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Author Contributions

TT and HK designed and supervised the study. ABM, AM, AP performed the experiments. ABM, TT, HK, CG analyzed the data and wrote the manuscript.

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Tables

Table 1 Primers used in this study

Primer	Genetic target	Sequence (5'-3')	Reference
p1	<i>bcrABC</i>	CAT TAG AAG CAG TCG CAA AGC A	(9)
p2		GTT TTC GTG TCA GCA GAT CTT TGA	
radC fwd	Tn6188	CTT GCC AAT GAT AAT ATC ATC	(25)
radC rev		GTG GTC TGA ATG CTC CAT CG	
EmrE fw	<i>emrE</i>	GAC CAA CAC CAC CTA AGT	This study
EmrE rv		GTC TGA TGG ACT TAC AAA GCT	

Table 2 Distribution of the Swiss (CH) and Finnish (FIN) *L. monocytogenes* strains based on serotypes and sources

Source	Number of <i>L. monocytogenes</i> strains within each serotype					Total
	1/2a	1/2b	1/2c	3a	4b	
Food-associated environment						
CH	9	1	1	0	4	15
FIN	14	8	7	0	2	31
Dairy products						
CH	10	3	0	0	3	16
FIN	7	0	0	1	0	8
Raw vegetables						
CH	1	0	0	0	0	1
FIN	4	5	0	1	1	11
RTE foods						
CH	11	4	0	0	2	17
FIN	2	0	1	0	0	3
Meat						
CH	29	6	10	0	14	59
FIN	54	3	9	0	3	69
Fish						
CH	1	1	0	0	0	2
FIN	23	0	0	2	2	27
Food animals						
CH	1	0	0	0	0	1
FIN	14	1	0	0	4	19
Birds						
CH	0	0	0	0	0	0
FIN	13	1	1	0	10	25
Human listeriosis						
CH	41	11	4	0	24	80
FIN	0	0	0	0	0	0
Others						
CH	2	0	1	1	0	4
FIN	3	0	0	0	1	4
Total (%)	239 (61%)	44 (11.2%)	34 (8.7%)	5 (1.3%)	70 (17.9%)	392 (100%)

Table 3 Overview of the Swiss (CH) and Finnish (FIN) BC^r strains detected in this study

Strain ID	Strain origin		Serotype	MLST genotypes ¹			BC MIC ugml ⁻¹			Efflux pump dependency ²	BC ^r gene ³
	Country	Source		CC	ST	Lineage	BC alone	BC reserpine	plus		
LM116	FIN	Vegetable	1/2b	CC5	ST5	1	25		30	no	unknown
N12-2082	CH	Human	1/2a	CC8	ST8	2	25		30	no	unknown
LT25E	FIN	Vegetable	4b	CC1	ST515	1	30		30	no	unknown
LL17/3	FIN	Wild bird, feces	4b	CC1	ST1	1	> 30		30	partial	unknown
LT30E	FIN	Vegetable	1/2a	CC8	ST8	2	25		20	partial	unknown
TT107E	FIN	Fish	1/2a	CC155	ST155	2	20		15	partial	unknown
N13-0094	CH	Human	1/2a	CC403	ST403	2	25		20	partial	unknown
N12-1667	CH	FPE	1/2a	CC403	ST403	2	25		20	partial	unknown
N11-1547	CH	Human	1/2a	CC403	ST403	2	25		20	partial	unknown
N12-0935	CH	Human	1/2a	CC403	ST403	2	25		20	partial	unknown
TT82E	FIN	Fish	1/2a	CC155	ST155	2	25		15	yes	unknown
HT45E	FIN	Meat	1/2a	CC155	ST155	2	20		10	yes	unknown
LL66/3	FIN	Wild bird, feces	1/2a	CC101	ST101	2	20		7.5	yes	unknown
LL1/3	FIN	Wild bird, feces	4b	CC315	ST194	2	20		5	yes	unknown
LM84	FIN	RTE food	1/2a	CC8	ST120	2	25		30	no	<i>emrE</i>
LK60/1	FIN	Fish	1/2a	CC121	ST121	2	25		30	no	<i>qacH</i>
N11-1905	CH	Meat	1/2a	CC121	ST121	2	25		30	no	<i>qacH</i>
HL6E	FIN	FPE	1/2c	untypable	untypable	ND	20		20	no	<i>qacH</i>
HE152E	FIN	FPE	1/2c	CC9	ST9	2	20		20	no	<i>qacH</i>
HT93E/1		RTE food	1/2c	CC9	ST9		20		20	no	

	FIN					2				<i>qacH</i>
HT100E/1	FIN	Meat	1/2c	CC9	ST9	2	20	20	no	<i>qacH</i>
L34-s	FIN	Meat	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
MJL14	FIN	FPE	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
HT65E/1	FIN	Meat	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
N13-0119	CH	Human	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
N12-0367	CH	Human	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
Lm 760	CH	Meat	1/2c	CC9	ST9	2	20	20	no	<i>qacH</i>
N11-2543	CH	FPE	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
N12-0571	CH	Meat	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
Lm S1	CH	FPE	1/2a	CC121	ST121	2	30	30	no	<i>qacH</i>
N11-1218	CH	Meat	1/2a	CC121	ST25	2	> 30	30	partial	<i>qacH</i>
HT69E	FIN	Meat	1/2c	CC9	ST9	2	20	15	partial	<i>qacH</i>
HE28E	FIN	FPE	1/2c	CC9	ST9	2	25	20	partial	<i>qacH</i>
Lm 217	CH	Meat	1/2a	CC9	ST9	2	25	20	partial	<i>qacH</i>
Lm 25/9	CH	Meat	1/2c	CC9	ST9	2	20	15	partial	<i>qacH</i>
Lm 89	FIN	FPE	1/2c	CC9	ST9	2	25	20	partial	<i>qacH</i>
N12-0494	CH	Meat	1/2a	CC121	ST121	2	25	20	partial	<i>qacH</i>
N12-2229	CH	RTE food	1/2a	CC121	ST121	2	25	30	no	<i>brcABC</i>
N12-0644	CH	Meat	1/2c	CC9	ST9	2	> 30	> 30	no	<i>brcABC</i>
N12-2271	CH	Meat	1/2c	CC9	ST9	2	> 30	> 30	no	<i>brcABC</i>
N12-2118	CH	Meat	1/2a	CC121	ST121	2	30	30	no	<i>brcABC</i>
N13-0288	CH	Meat	1/2a	CC121	ST28	2	30	30	no	<i>brcABC</i>
N13-0369	CH	Meat	1/2a	CC121	ST121	2	30	30	no	<i>brcABC</i>

Lm S9	CH	FPE	1/2a	CC204	ST204	2	> 30	> 30	no	<i>brcABC</i>
Lm S2	CH	FPE	1/2b	CC5	ST5	1	> 30	30	no	<i>brcABC</i>

¹MLST types and genetic lineages were assigned based on the *L. monocytogenes* MLST database website (<http://bigsdbs.web.pasteur.fr>).

²Efflux pump dependency: yes: BC MIC decreases by $\geq 10 \mu\text{g ml}^{-1}$ in the presence of the efflux pump inhibitor reserpine. Partial: BC MIC decreases by $< 10 \mu\text{g ml}^{-1}$ in presence of reserpine. No: BC MIC was not affected by the presence of reserpine.

³BC^r gene presence determined by PCR

Figures

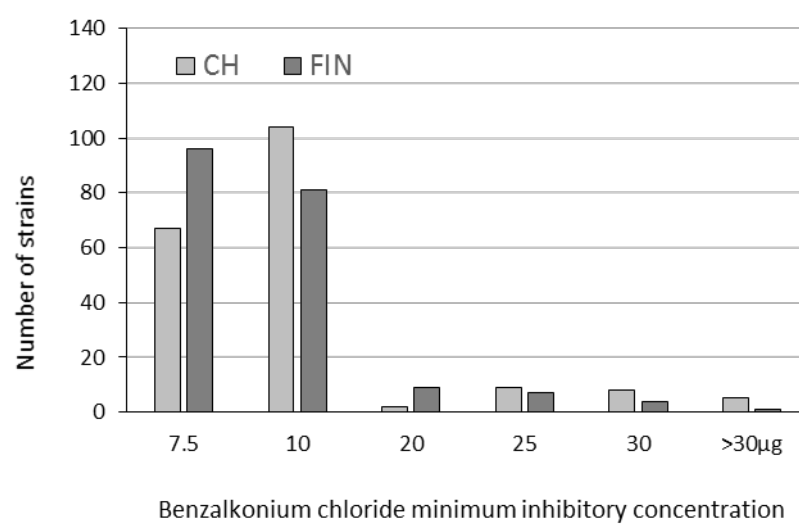


FIG 1 Distribution of the BC^r Swiss (CH) and Finnish (FIN) *L. monocytogenes* on BC MICs.

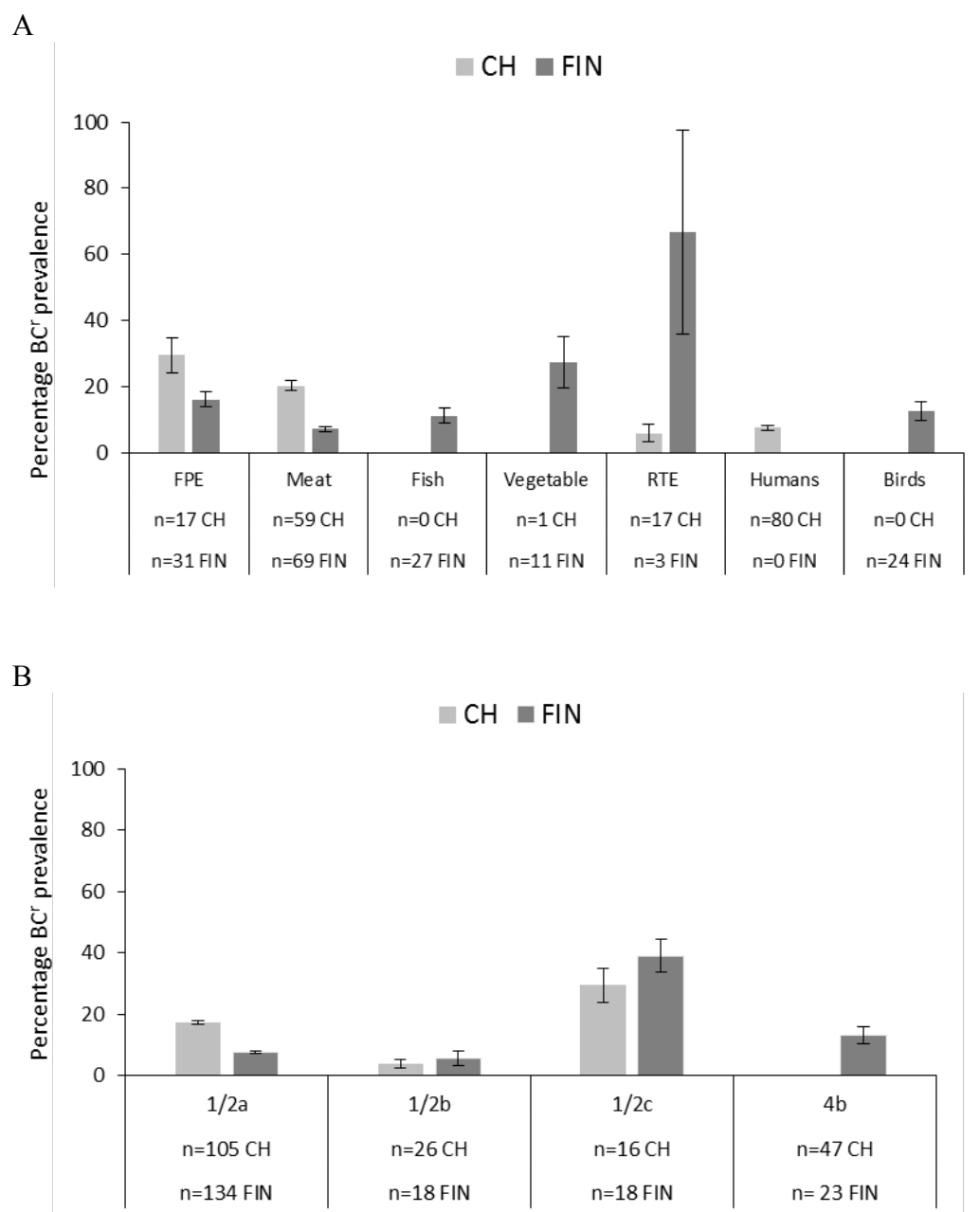


FIG 2. Bar charts depicting the prevalence (including 95% CIs) and distribution of BCr among Swiss and Finnish *L. monocytogenes* strains based on (A) isolation sources and (B) serotypes.

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Curriculum Vitae

Vorname Name Meier Anja Barbara

Geburtsdatum 26/04/1988

Geburtsort Wetzikon ZH

Nationalität Schweiz

Heimatort Erlenbach ZH, Zürich ZH

08/1995 - 07/2001 Primarschule, Niederglatt ZH, Schweiz

08/2001 – 09/2007 Kantonsschule Zürcher Unterland, Bülach ZH, Schweiz

06/09/2007 Erlangung der Maturität an der Kantonsschule Zürcher Unterland,
Bülach ZH, Altsprachliches Profil

09/2008 – 12/2014 Studium der Veterinärmedizin an der Vetsuisse-Fakultät Universität
Zürich, Schweiz

31/12/2014 Erlangung des Tierärztediploms an der Vetsuisse-Fakultät Universität
Zürich, Schweiz

02/2015 – 03/2017 Anfertigung der Dissertation unter der Leitung von PD Dr. Taurai
Tasara am Institut für Lebensmittelsicherheit und –hygiene der
Vetsuisse-Fakultät Universität Zürich

Direktor: Prof. Dr. Roger Stephan